



ITW

P/1336-187

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

John Herman STARK et al.

Date: May 25, 2004

Serial No.: 10/773,533

Group Art Unit: 1723

Filed: February 5, 2004

Examiner: ---

For: SEPARATING ARRANGEMENT FOR TREATMENT OF FLUIDS

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR A CORRECTED FILING RECEIPT

Sir:

Please issue a corrected filing receipt for the above application. The original filing receipt includes the following error:

The Assignment for Published Patent Application data is incorrect. The Assignee should read:

**DCT DOUBLE-CONE TECHNOLOGY AG**

Enclosed is a copy of the executed Assignment evidencing the correct data.

A red-lined copy of the filing receipt reflecting the error is also enclosed.

It is requested that the U.S. Patent and Trademark Office issue a corrected filing receipt at the earliest possible date.

In the event payment of any fee is inadvertently not enclosed, or if any additional fee during the prosecution of this case is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 25, 2004:

Robert C. Faber

Name of applicant, assignee or  
Registered Representative

Signature

May 25, 2004

Date of Signature

Respectfully submitted,

Robert C. Faber

Registration No.: 24,322

OSTROLENK, FABER, GERB & SOFFEN, LLP

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New York, NY 10036-8403

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# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/773,533	02/05/2004	1723	385	P/1336-187 (CIP)	5	8	1

2352  
OSTROLENK FABER GERB & SOFFEN  
1180 AVENUE OF THE AMERICAS  
NEW YORK, NY 100368403



CONFIRMATION NO. 6793

## FILING RECEIPT



\*OC000000012570709\*

Date Mailed: 05/10/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

### Applicant(s)

John Herman Stark, Wattenwil, SWITZERLAND;  
Hans Jorg Wagenbach, Biel, SWITZERLAND;  
Paul Werner Straub, Frauenkappelen, SWITZERLAND;

### Assignment For Published Patent Application

DCT Double-Cone Technology **AG**

### Domestic Priority data as claimed by applicant

This application is a CIP of 10/070,482 02/27/2002 PAT 6,701,960  
which is a 371 of PCT/CH99/00403 08/31/1999

### Foreign Applications

If Required, Foreign Filing License Granted: 05/07/2004

Projected Publication Date: 08/19/2004

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

**Title**

Separating arrangement for treatment of fluids

**Preliminary Class**

210

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

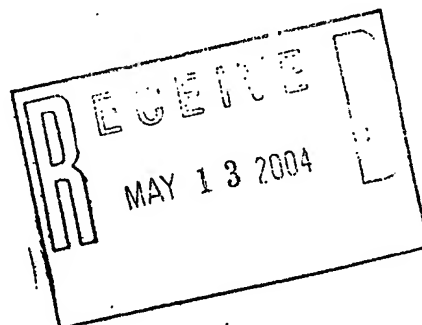
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**NOT GRANTED**

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OFGS FILE No.	4/1336-18h
PATENTS ORDERED	SG

RCF



26324ATUS-4AT

**A S S I G N M E N T**  
**FOR UNFILED APPLICATION FOR UNITED STATES PATENT**  
(Sole or Joint Inventors)

**WHEREAS:** John Herman STARK  
Grundbachstrasse 7x  
CH-3665 Wattenwil  
Switzerland

Hansjörg WAGENBACH  
Bözingenstrasse 38  
CH-2502 Biel  
Switzerland

Paul Werner STRAUB  
Murtenstrasse 23  
CH-3202 Frauenkappelen  
Switzerland

(hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled:

**Separating Arrangement for Treatment of Fluids**

for which application for Letters Patent of the United States has been executed on even date herewith,

**WHEREAS:** DCT Double-Cone Technology AG  
(a company organized and existing under the laws of Switzerland)  
Allmendstrasse 86  
CH-3602 Thun  
Switzerland

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$ 1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR further covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

20<sup>th</sup> day of January 2004

J. Stark  
John Herman STARK

\_\_\_\_ day of \_\_\_\_\_

\_\_\_\_\_  
Hansjörg WAGENBACH

\_\_\_\_ day of January 26, 2004

P. W. Straub  
Paul Werner STRAUB

Note: No witnessing, notarization or legalization is necessary, but can be included if desired as prima facie evidence of the execution of the assignment.

26324AUS-4AT

**A S S I G N M E N T**  
**FOR UNFILED APPLICATION FOR UNITED STATES PATENT**  
(Sole or Joint Inventors)

**WHEREAS:**      John Herman STARK                      Hansjörg WAGENBACH  
                    Grundbachstrasse 7x                      Bözingenstrasse 38  
                    CH-3665 Wattenwil                      CH-2502 Biel  
                    Switzerland                      Switzerland

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IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

\_\_\_\_\_ day of \_\_\_\_\_

26. day of January 2004

\_\_\_\_\_  
John Herman STARK

Hansjörg Wagenbach  
Hansjörg WAGENBACH

\_\_\_\_\_ day of \_\_\_\_\_

\_\_\_\_\_  
Paul Werner STRAUB

Note: No witnessing, notarization or legalization is necessary, but can be included if desired as prima facie evidence of the execution of the assignment.